

Whistleblowing Policy

Luzerner Kantonalbank AG (LUKB) has a system for reporting suspected misconduct in place, anonymously if required.

General

The integrity and reputation of LUKB are among the most important assets of the company. LUKB and its Group companies are therefore dependent on receiving reports from their employees or from third parties (such as customers, suppliers, competitors) about suspected misconduct in the organization of LUKB. Employees who make a report do not have to fear any adverse consequences.

Reporting platform

Suspected misconduct can be reported to LUKB, anonymously if desired. Misconduct includes, for example, violations of criminal, supervisory and administrative law as well as other legal regulations and internal guidelines. Customer reactions, on the other hand, must be submitted through the usual channels.

Whistleblowing reporting office (accessible internally and externally)

Reports can be sent to the Head of Legal Services & Compliance electronically or by letter. The latter is obligated not to disclose the source of information at the request of the whistleblower for the latter's protection («source protection»).

- **Mailbox**
whistleblowing@lukb.ch
- **Regular Mail**
Luzerner Kantonalbank AG
Leiter Rechtsdienst & Compliance
Pilatusstrasse 12
6003 Luzern
SWITZERLAND

Further procedure

The Head of Legal Services & Compliance assesses the information received confidentially. If necessary, he will initiate further investigations in accordance with internal guidelines and directives. Any conflicts of interest will be taken into account accordingly.

Confidentiality

All information and investigations will be treated confidentially.

Thank you

By providing us with information, you are helping to ensure the sustainable success of LUKB by supporting compliance with the applicable rules, protecting LUKB from potential reputational damage and helping to avert financial loss. We thank you for your valuable support.

Lucerne, 8 March 2023